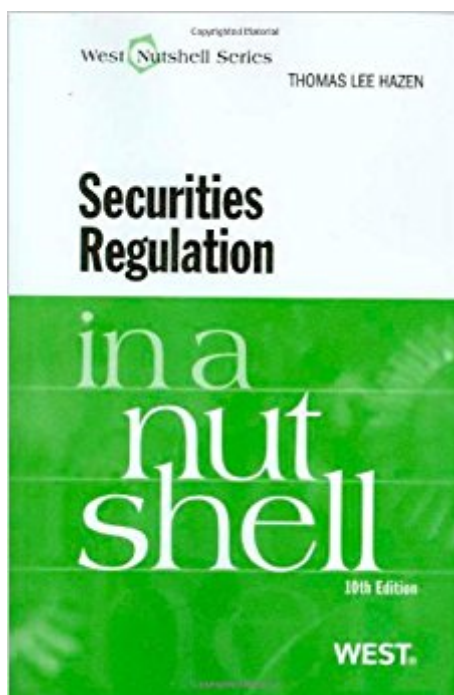


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# Securities Regulation In A Nutshell, 10th (Nutshell Series)



## Synopsis

This title will help you acquire an understanding of the basic content and organization of federal and state securities law. An authoritative summary, it covers the essential background and current status of each major area, while keeping details and citations to a minimum. It discusses the regulations governing public offerings, public companies, securities businesses, and investment companies. It also explores sanctions, civil liabilities, and extraterritorial application. This edition includes recent developments including the Securities and Exchange Commission's 1933 Act offering reform, the new Financial Industry Regulatory Authority, credit rating agencies, and changes to the New York Stock Exchange specialist system.

## Book Information

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## Customer Reviews

I'm not a securities lawyer, but I need to know about the securities laws, and this book fits the bill. I had an older version that I used when I started my job in the securities industry, and it puts everything together in a very succinct way.

Great overview of securities regulation.

I was reluctant to purchase this book since there was no comment online. Finally I just purchased it as I detected no alternative, and felt fortunate that I have made a wise determination. This book provides a beginner with an excellent framework for US securities regulations and structure of SEC departments and their functions. I am a junior in a law firm, and, based on this book, I have just

found that research in this field has become much much easier. I love the nutshell series.

A lot of valuable info in a small package. Overall, I am very happy with it. Now, if I can just get time to read it.

boring book.

As a third-year law school student, I've used my fair share of Nutshell books, and historically they've been great -- this one, however, is a complete letdown. While it does provide a very very very broad overview of securities law, it lacks any sort of substantive discussion of the details within the statutes and caselaw - making it incredibly unhelpful for outlining. Additionally (and infuriatingly), I have found several incorrect citations to the relevant statutes and rules. So, if you are looking for a helpful and accurate discussion of Securities Regulation for law school purposes, do not buy this book - your money will be better served with a Gilbert's or an E&E.

Most law schools don't offer much in the way of securities law training, and unless you were a business major in college, this area might be foreign to you. I encourage anyone with an interest in business law to pick this up as a useful read for preparing to practice, or to bone up on the law for a case that touches on securities issues. I bought the Kindle version, which is great, because I can literally carry it around with me.

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